

Customer No. 22,852  
Attorney Docket No. 08009.0010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Katsuya KASE et al. )  
Application No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned  
Filed: February 19, 2004 ) ) Examiner: Not Yet Assigned  
For: ACTIVE MATERIAL FOR )  
POSITIVE ELECTRODE IN )  
NON-AQUEOUS )  
ELECTROLYTE SECONDARY )  
BATTERY )

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO-1449 and are in a non-English language:

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In lieu of a statement of relevance or translation of the listed non-English language documents, an English-language abstract of the documents setting forth the relevance is enclosed.

1. Japanese Patent Publication No. JP 08-007894 - The relevance of this document is discussed at page 4 of the specification of the present application. An English language abstract of this document is enclosed.
2. Japanese Patent Publication No. JP 09-245787 A2. An English language abstract of this document is enclosed.
3. Japanese Patent Publication No. JP 11-185755 - The relevance of this document is discussed at page 4 of the specification of the present application. An English language abstract of this document is enclosed.
4. Japanese Patent Publication No. JP 08-078006 - The relevance of this document is discussed at page 5 of the specification of the present application. An English language abstract of this document is enclosed.
5. Japanese Patent Publication No. JP 2000-30693 - The relevance of this document is discussed at page 5 of the specification of the present application. An English language abstract of this document is enclosed.
6. Japanese Patent Publication No. JP 11-224664 - The relevance of this document is discussed at page 6 of the specification of the present application. An English language abstract of this document is enclosed.

7. Japanese Patent Publication No. JP 2002-21402 - The relevance of this document is discussed at page 8 of the specification of the present application. An English language abstract of this document is enclosed.

8. Japanese Patent Publication No. JP 2002-15739 - The relevance of this document is discussed at page 8 of the specification of the present application. An English language abstract of this document is enclosed.

9. Japanese Patent Publication No. JP 2002-015740 - The relevance of this document is discussed at page 8 of the specification of the present application. An English language abstract of this document is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
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By: David W. Hill,  
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Dated: February 20, 2004

Enclosures  
DWH/FPD/mdh

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## INFORMATION DISCLOSURE CITATION

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Applicant Katsuya KASE et al.		
Filing Date February 19, 2004	Group:	Not Yet Assigned

## U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	08-007894 A2	01/12/96	Japan			Yes
	11-185755 A2	07/09/99	Japan			Yes
	08-078006 A2	03/22/96	Japan			Yes
	2000-030693 A2	01/28/00	Japan			Yes
	11-224664 A2	08/17/99	Japan			Yes
	09-245787 A2	09/19/97	Japan			Yes
	2000-021402 A2	01/21/00	Japan			Yes
	2002-015739 A2	01/18/02	Japan			Yes
	2002-015740 A2	01/18/02	Japan			Yes

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce